



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
-----------------	-------------	----------------------	---------------------	------------------

10/532,687

04/26/2005

Wing Sum Cheung

4280.72689

8727

24978 7590 08/01/2008

GREER, BURNS & CRAIN  
300 S WACKER DR  
25TH FLOOR  
CHICAGO, IL 60606

EXAMINER

BARNHART, LORA ELIZABETH

ART UNIT

PAPER NUMBER

1651

MAIL DATE

DELIVERY MODE

08/01/2008

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/532,687	<b>Applicant(s)</b> CHEUNG, WING SUM	
	<b>Examiner</b> Lora E. Barnhart	<b>Art Unit</b> 1651	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 08 May 2008.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-12, 14 and 15 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-12, 14 and 15 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☒ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)          | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

### **DETAILED ACTION**

Applicant should note that the examiner for this case has changed.

#### ***Continued Examination Under 37 CFR 1.114***

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 5/8/08 has been entered.

#### ***Response to Amendments***

Applicant's amendments filed 5/8/08 to claim 1 have been entered. No claims have been cancelled or added in this reply. Claims 1-12, 14, and 15 remain pending in the current application, all of which are being considered on their merits. Prior art references not included with this Office action can be found in a prior action.

#### ***Claim Rejections - 35 USC § 112***

Any rejections of record not specifically addressed below are withdrawn in light of the claim amendments.

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 1-12, 14, and 15 remain rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject

Art Unit: 1651

matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. This is a new matter rejection.

The claims are drawn to a rabbit skin wherein the skin is processed by eluting and fractioning based on molecular weight. However, the specification as originally filed does not describe such a process. Thus the limitations contain new matter. Applicant alleges that the specification provides inherent support for fractionating by molecular weight since the examples teach distilling (Reply, page 6, paragraph 2). These arguments have been fully considered, but they are not persuasive. Distillation does not, as applicant alleges, separate compounds by weight, but rather by their volatility; see Kister at page 4 (1992, *Distillation Design*; reference U).

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 1-12, 14, and 15 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 1 requires "feeding the rabbit having rabbit skin tissues vaccinated with vaccinia virus," which is confusing. It is not clear whether this limitation requires feeding to a rabbit having rabbit skin a diet comprising tissues vaccinated with vaccinia virus or whether the limitation merely requires providing food to the rabbit from which the skin is eventually obtained. Clarification is required.

Art Unit: 1651

Because claims 2-12, 14, and 15 depend from indefinite claim 1 and do not clarify the point of confusion, they must also be rejected under 35 U.S.C. 112, second paragraph.

Claim 11 refers to “the rabbit skin inflammatory tissue,” which has no antecedent basis in claim 1. Clarification is required.

Claims 14 and 15 are drawn to “the rabbit skin of claim 1” but require that the skin be treated to yield, respectively, a drug and a health food. It is not clear whether these claims are drawn to skin per se (as in the preamble) or to a drug and health food. Clarification is required.

### ***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1-12, 14, and 15 are/remain rejected under 35 U.S.C. 102(b) as being anticipated by Shibayama et al. (1991, U.S. Patent 5,057,324).

Applicant claims a rabbit skin containing kallikrein production inhibition activity wherein the skin is obtained by vaccinating rabbit skin tissues with vaccinia virus, feeding the rabbit, killing the rabbit when the skin tissues are inflamed, skinning the rabbit; and eluting a portion and fractionating based on molecular weight. The virus is Lister strain, Ikeda strain, Dairen strain, EM-63 strain; the vaccinating is effected by injecting 0.1-0.4 ml solution containing  $10^6$ - $10^9$  virus/ml each site, 100-250 sites per

Art Unit: 1651

rabbit weighing 1.5-3kg. The rabbit is a Japanese white, New Zealand white, Chinese or Blue-violet rabbit. The skin is inflamed when visible blains are present, the skin is red to mauve and thick, and the subcuticle hip is swollen; the skin possesses 0.5 iu/g SART activity. In some dependent claims, the extract is combined with water to yield a drug or health food.

Shibayama teaches a rabbit skin extract that has inhibitory activity against kallikrein formation, wherein the substance is useful as a drug (abstract). The substance is obtained by infecting a rabbit skin with vaccinia virus (column 1, lines 45-65) and removing the skin (Example 1 at column 2, line 57, et seq.). Shibayama teaches treating the skin first with sodium hydroxide (an alkali) and then with hydrochloric acid, then subjecting it to ultrafiltration to remove substances of molecular weight greater than 20kDa (column 3, lines 1-12). Shibayama teaches adding distilled water to the resulting ultrafiltrate (column 3, lines 13-22) and claim a composition comprising the product and a pharmaceutically acceptable carrier (claim 8).

Although the reference does not expressly teach all of the limitations regarding how the rabbit skin is produced, these limitations are considered to be product by process type limitations. M.P.E.P. § 2113 reads, "Product-by-process claims are not limited to the manipulations of the recited steps, only the structure implied by the steps."

"Even though product-by-process claims are limited by and defined by the process, determination of patentability is based on the product itself. The patentability of a product does not depend on its method of production. If the product in the product-by-process claim is the same as or obvious from a product of the prior art, the claim is

Art Unit: 1651

unpatentable even though the prior product was made by a different process.” *In re Thorpe*, 777 F.2d 695, 698, 227 USPQ 964, 966 (Fed. Cir. 1985) (citations omitted).

The structure implied by the process steps should be considered when assessing the patentability of product-by-process claims over the prior art, especially where the product can only be defined by the process steps by which the product is made, or where the manufacturing process steps would be expected to impart distinctive structural characteristics to the final product. See, e.g., *In re Garnero*, 412 F.2d 276, 279, 162 USPQ 221, 223 (CCPA 1979)

The use of 35 U.S.C. §§ 102 and 103 rejections for product-by-process claims has been approved by the courts. “[T]he lack of physical description in a product-by-process claim makes determination of the patentability of the claim more difficult, since in spite of the fact that the claim may recite only process limitations, **it is the patentability of the product claimed and not of the recited process steps which must be established.** We are therefore of the opinion that when the prior art discloses a product which reasonably appears to be either identical with or only slightly different than a product claimed in a product-by-process claim, a rejection based alternatively on either section 102 or section 103 of the statute is eminently fair and acceptable. As a practical matter, the Patent Office is not equipped to manufacture products by the myriad of processes put before it and then obtain prior art products and make physical comparisons therewith.” *In re Brown*, 459 F.2d 531, 535, 173 USPQ 685, 688 (CCPA 1972).

Once a product appearing to be substantially identical is found and an art rejection made, the burden shifts to the applicant to show an unobvious difference. In this case, there is no evidence on the record that the strain of vaccinia virus or the strain of rabbit has any effect on patentability. The data in the table at page 14 is noted, but there is no evidence that the variations in base and amino acid composition from the different combinations has any effect on the patentability of the product, e.g. an effect on SART that affects patentability.

Applicant alleges that Shibayama does not teach conducting the method with a nitrogen atmosphere (Reply, page 7, paragraph 3). Applicant alleges that the instant composition varies when a "different strain" is inoculated (Reply, page 7, last paragraph). These arguments have been fully considered, but they are not persuasive.

The atmosphere of Earth is nearly 80% nitrogen (see *Encyclopedia Britannica*, volume 2, 1910, at page 860; reference V). Therefore, since the experiments of Shibayama were carried out in a laboratory on Earth, they were conducted under a nitrogen atmosphere.

It is not clear whether the statement in the last paragraph of page 7 refers to the strain of virus or the strain of rabbit. In any case, the argument that the strain of virus or rabbit affects the properties of the composition is merely the argument of counsel and is unsupported by evidence or declarations of those skilled in the art. Attorney argument is not evidence unless it is an admission, in which case, an examiner may use the admission in making a rejection. See M.P.E.P. § 2129 and § 2144.03 for a discussion of admissions as prior art. Counsel's arguments cannot take the place of objective



Art Unit: 1651

evidence. *In re Schulze*, 145 USPQ 716 (CCPA 1965); *In re Cole*, 140 USPQ 230 (CCPA 1964); and especially *In re Langer*, 183 USPQ 288 (CCPA 1974). See M.P.E.P. § 716.01(c) for examples of attorney statements that are not evidence and that must be supported by an appropriate affidavit or declaration.

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1-12, 14, and 15 remain rejected under 35 U.S.C. 103(a) as being unpatentable over Shibayama et al. (1991, U.S. Patent 5,057,324).

Shibayama teaches a rabbit skin extract that has inhibitory activity against kallikrein formation, wherein the substance is useful as a drug (abstract). The substance is obtained by infecting a rabbit skin with vaccinia virus (column 1, lines 45-65) and removing the skin (Example 1 at column 2, line 57, et seq.). Shibayama teaches treating the skin first with sodium hydroxide (an alkali) and then with hydrochloric acid, then subjecting it to ultrafiltration to remove substances of molecular weight greater than 20kDa (column 3, lines 1-12).

Although the reference does not expressly teach all of the limitations regarding how the rabbit skin is produced, these limitations are considered to be product by process type limitations. The patentability of a product does not depend on its method of production. If the claimed product is the same or obvious from a product in the prior

Art Unit: 1651

art (i.e. the product disclosed in the cited reference), the claim is unpatentable even though the reference product was made by a different process. When the prior art discloses a product which reasonably appears to be identical with or slightly different than the claimed product-by-process, rejections under 35 U.S.C 102 and/or 35 U.S.C 103 are proper. (MPEP 2113)

The reference does not explicitly teach feeding the rabbit. However as a matter of standard protocol, animals used in laboratory experiments are required to be treated humanely which includes feeding of the animals. Thus, while the reference does not expressly state the rabbits were fed, it would have been a matter of standard procedure to do so, and thus obvious to one of ordinary skill in the art.

The reference does not teach each of the claimed strains of vaccinia, types of rabbit, wherein the inflammation reaches the claimed point, or SART activity of the skin. However, at the time of the claimed invention, each of the claimed strains and rabbits were well known and used in the art for animal and laboratory experiments. Thus, it would have been within the purview of one in the art to use any of the instant strains or rabbits as a matter of routine practice. Regarding the SART activity, the skin of the art is the same as that claimed, thus it must intrinsically exhibit the claimed activity.

The reference does not teach the amount of virus injected into the rabbit. However, at the time of the claimed invention, it would have been well within the purview of one of ordinary skill in the art to optimize such injections as a matter of routine experimentation. Thus, one of ordinary skill in the art would have been motivated by routine practice to optimize the amount of virus injected into the rabbit with

Art Unit: 1651

a reasonable expectation for successfully obtaining an effective extract against the formation of kallikrein.

The reference does not teach water as the pharmaceutically acceptable carrier. However, at the time of the claimed invention, water was a well known and recognized carrier. Thus it would have been obvious to one of ordinary skill in the art to combine the extract with water in following the teachings of Shibayama.

Therefore, the invention as a whole would have been *prima facie* obvious to a person of ordinary skill at the time the invention was made.

Applicants rely on arguments similar to those traversing the rejection under 35 U.S.C. § 102 to traverse this rejection (Reply, page 8, last paragraph et seq.).

Therefore, the response set forth above to arguments also applies to this rejection.

***No claims are allowed. No claims are free of the art.***

Applicant is requested to specifically point out the support for any amendments made to the disclosure in response to this Office action, including the claims (MPEP 714.02 and 2163.06). In doing so, applicant is requested to refer to pages and line numbers in the as-filed specification, **not** the published application. Due to the procedure outlined in MPEP § 2163.06 for interpreting claims, it is noted that other art may be applicable under 35 U.S.C. § 102 or 35 U.S.C. § 103(a) once the aforementioned issue(s) is/are addressed.

Applicant is requested to provide a list of all copending U.S. applications that set forth similar subject matter to the present claims. A copy of such copending claims is requested in response to this Office action.

Art Unit: 1651

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Lora E. Barnhart whose telephone number is 571-272-1928. The examiner can normally be reached on Monday-Thursday, 9:00am - 5:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Michael G. Wityshyn can be reached on 571-272-0926. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Lora E Barnhart/  
Primary Examiner, Art Unit 1651